

012737

Dr. Adam Example  
Example Street 1  
1200 Genève

Shareholder:

Dr. Adam Example  
Example Street 1  
1200 Genève**Registration/appointment of proxy for the Annual General Meeting of Novartis AG  
to be held on March 5, 2024, 10:00 CET in the St. Jakobshalle, Basel**

Returning this form as early as possible will facilitate the preparations for the General Meeting. For replies arriving later than **March 1, 2024**, the processing cannot be guaranteed.

**Personal attendance at the General Meeting**

- I/we shall attend the General Meeting and request an admission ticket in my/our name along with voting materials.

Admission tickets and voting materials will be sent out from February 23, 2024, to March 1, 2024.

**or appointment of proxy**

I/we shall not attend the General Meeting and hereby appoint as my/our proxy to vote my/our shares:

- the Independent Proxy, lic. iur. Peter Andreas Zahn, attorney at law, St. Jakobs-Strasse 7, 4052 Basel, Switzerland, or any substitute independent proxy as may be designated in replacement of lic. iur. Peter Andreas Zahn by the Board of Directors.

**The votes of my/our shares represented by the Independent Proxy are to be cast in accordance with the motions of the Board of Directors in all instances, except to the extent I/we have given different instructions (whether individual instructions in respect of the motions published in the invitation to the General Meeting, or general instructions) on the instruction form.**

- the following person:

Full name / company name: \_\_\_\_\_

Street address: \_\_\_\_\_

Postal code and city / country: \_\_\_\_\_

If Novartis AG or members of the Board of Directors or of the Executive Committee are appointed as proxies, the power of attorney will be delegated to the Independent Proxy.

A shareholder who has not ticked any of the above three boxes will receive an admission ticket.

**A proxy appointment is only acceptable and valid if this form is duly signed.**

Date

Signature

PTO

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## Voting instructions to the Independent Proxy

| A    | Individual instructions in respect of the motions published under the agenda items in the invitation to the General Meeting (agenda)   | Please tick only one box per agenda item |                          |                          |
|------|--|--|--------------------------|--------------------------|
|      |  | Yes                                      | No                       | Abstention               |
| 1    | Votes on the financial and non-financial reporting for the 2023 financial year   |  |                          |                          |
| 1.1  | Approval of the operating and financial review of Novartis AG, the financial statements of Novartis AG and the Group consolidated financial statements for the 2023 financial year | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 1.2  | Advisory vote on the non-financial report for the 2023 financial year  | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 2    | Discharge from liability of the members of the Board of Directors and the Executive Committee  | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 3    | Appropriation of available earnings of Novartis AG as per balance sheet and declaration of dividend for 2023   | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 4    | Reduction of share capital   | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 5    | Votes on compensation for the members of the Board of Directors and the Executive Committee  |  |                          |                          |
| 5.1  | Binding vote on the maximum aggregate amount of compensation for the Board of Directors from the 2024 Annual General Meeting to the 2025 Annual General Meeting                    | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 5.2  | Binding vote on the maximum aggregate amount of compensation for the Executive Committee for the 2025 financial year   | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 5.3  | Advisory vote on the 2023 Compensation Report  | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 6    | Re-elections of the Board Chair and the members of the Board of Directors  |  |                          |                          |
| 6.1  | Re-election of Joerg Reinhardt as member and Board Chair   | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 6.2  | Re-election of Nancy C. Andrews  | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 6.3  | Re-election of Ton Buechner  | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 6.4  | Re-election of Patrice Bula  | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 6.5  | Re-election of Elizabeth Doherty   | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 6.6  | Re-election of Bridgette Heller  | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 6.7  | Re-election of Daniel Hochstrasser   | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 6.8  | Re-election of Frans van Houten  | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 6.9  | Re-election of Simon Moroney   | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 6.10 | Re-election of Ana de Pro Gonzalo  | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 6.11 | Re-election of Charles L. Sawyers  | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 6.12 | Re-election of William T. Winters  | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 6.13 | Re-election of John D. Young   | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 7    | Re-elections to the Compensation Committee   |  |                          |                          |
| 7.1  | Re-election of Patrice Bula  | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 7.2  | Re-election of Bridgette Heller  | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 7.3  | Re-election of Simon Moroney   | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 7.4  | Re-election of William T. Winters  | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 8    | Re-election of the auditor   | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |
| 9    | Re-election of the Independent Proxy   | <input type="checkbox"/>                 | <input type="checkbox"/> | <input type="checkbox"/> |

**B General instructions** in case of alternative motions under the agenda items published in the invitation to the General Meeting, and/or of motions relating to additional agenda items according to Art. 704b of the Swiss Code of Obligations

I/we instruct the Independent Proxy to vote as follows:

- According to the motion of the Board of Directors
- Against alternative and/or additional motions
- Abstain from voting

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