

## **Novartis Healthcare Private Limited**

### **Corporate Social Responsibility Policy**

This Policy provides guidance on the vision, principles, and governance of Corporate Social Responsibility (“CSR”) initiatives to be implemented by the Company.

#### **Novartis’ purpose**

To reimagine medicine to improve and extend people’s lives.

#### **CSR guiding principles:**

Our Company’s CSR efforts are at the core of our business and underscore our purpose of caring and curing.

- We take a long-term view and are committed to address priorities where there is a need and we can make a significant impact.
- We are guided by a central philosophy and programs are conceived of and implemented where the required expertise and infrastructure is strongest.
- We understand that partnerships are key to success and improving health is a goal we share with all stakeholders including governments, international agencies, foundations and non-governmental organizations.
- We measure and communicate the results of our efforts and the impact on societal health.

The focus areas of the Company’s CSR program would mainly be on health and environment. The CSR Committee may add other focus areas in compliance with Section 135 and Schedule VII of the Companies Act, 2013 (“Act”)

#### **CSR governance:**

- CSR is endorsed and ingrained at the highest level in our Company; it is central to how we run our business.
- The CSR Committee constituted by the Board of Directors will oversee our Company’s strategy and governance of CSR. The details of the CSR Committee are as mentioned in Exhibit 1.

- The CSR Committee has taken on the mandate to advance strategy and programs in two key areas of CSR at Novartis: health for all and environmental sustainability. The CSR Committee will be responsible for administering the CSR activities in accordance with the directions laid down in the Act and the Rules for CSR promulgated thereunder, as in force from time to time.
- The CSR Committee will make recommendations to the Board on matters related to strategy, targets, policies, and stakeholder engagement in areas of CSR.
- The CSR Committee will formulate and recommend to the Board an annual action plan consisting of approved CSR projects for the year, the manner of their execution, modalities of fund utilization, implementation schedules, monitoring and reporting mechanism and details of impact assessment, if any and if applicable.

#### **Budgeting:**

The overall budget for CSR shall be calculated as per the relevant provisions of the Act and the Rules promulgated thereunder and shall be made available by the Chief Financial Officer of the Company. The CSR Committee will allocate funds to individual projects within the overall budget.

The surplus arising out of the CSR projects or programs, or activities shall not form part of the business profit of the Company and thereafter will be ploughed back into the projects or will be transferred to the unspent CSR account or to the fund specified under Schedule VII.

Any unspent CSR funds at the end of the financial year shall be allocated toward an ongoing project and will be transferred to the unspent CSR account or will be transferred to the fund specified under Schedule VII.

Any excess expenditure made over and above the prescribed CSR budget shall be utilized to set off the spending requirement in 3 subsequent years.

The Chief Financial Officer of the Company shall certify the utilization of the funds as approved by the Board of Directors of the Company.

#### **Identification of CSR initiatives:**

Our Company aims to address needs of the community by adopting a long-term vision and commitment in areas where it has the ability to make a difference.

The CSR Committee shall identify projects that shall be implemented by the Company, taking into consideration the relevant portions of the Act as in force from time to time.

#### **Execution of CSR initiatives and selection of partner(s):**

The Managing Director of the Company shall be responsible for nominating one or more persons to lead the overall CSR initiatives of the Company, under the supervision and direction of the CSR Committee.

The approved CSR initiatives shall be implemented by the Company itself or through implementing partner(s) selected by the Company taking into consideration the eligibility criteria of the Act and any amendments introduced from time to time.

#### **Evaluation, Monitoring and Reporting:**

The CSR Committee shall set guidelines for each of the identified projects to ensure optimum results. Quarterly progress reports shall be obtained from the implementing partner(s) with details of project activities completed, funds utilized, and impact achieved.

The Chairman of the CSR Committee shall in turn update the Board on the status of the various CSR initiatives at each meeting of the Board.

The Directors' Report of the Company will include a section on CSR reporting as specified in the Companies (CSR Policy) Rules, 2021 and amended from time to time.

The CSR Committee Composition, CSR Policy, Approved CSR Projects (Exhibit 2) and Annual Report on CSR shall be displayed on the website of the Company.

*Notes:*

*Approved by Board of Directors on August 19, 2014. Reviewed and approved by the Board of Directors in its Meeting held on May 10, 2018. Reviewed and approved by the Board of Directors through Circular Resolution, amendments effective from January 22, 2021. Reviewed and approved by the Board of Directors in its Meeting held on January 18, 2023.*

## Exhibit 1

### Composition of the CSR Committee

Name of the Director	Category
Mr. Amitabh Dube	Member and Managing Director
Mr. Push Adhikary	Member and Director
Mr. Naveen Gullapalli	Member and Whole Time Director

## Exhibit 2

### List of Approved Projects for FY 2023-24

Sr. No	CSR initiative	Schedule VII category	Description of the project	Project category
<b>HEALTHCARE</b>				
1	Non-communicable diseases intervention for screening, health access, and awareness (NCDISHAA)	(i) Eradicating hunger, poverty, and malnutrition, ["promoting health care including preventive healthcare"] and sanitation.	Novartis Healthcare Private Limited will work with Americares India to monitor the blood sugar levels through HbA1c tests and serum lipids through Lipid profile tests in individuals identified with diabetes and hypertension respectively across 6 wards of urban slums in Mumbai. The project also aims to increase awareness on the importance of monitoring blood sugar levels and serum lipid profile.	Other than ongoing project
2.	Non-communicable diseases intervention for screening, health access, and awareness (NCDISHAA) 2.0	(i) Eradicating hunger, poverty, and malnutrition, ["promoting health care including preventive healthcare"] and sanitation.	Novartis Healthcare Private Limited will work with Americares India to conduct a multipronged screening and behavior change communication intervention which includes one to one and group counseling sessions, monitoring of HbA1c levels for diabetes and lipid profile of hypertensive and/or high LDL patients and strengthening referral and support services in urban slums of Mumbai.	Ongoing project
3.	Mobile Medical Units (MMU) for screening of Sickle Cell Disease (SCD)	(i) Eradicating hunger, poverty, and malnutrition, ["promoting health care including preventive healthcare"] and sanitation.	Novartis Healthcare Private Limited will work with Piramal Swasthya to provide community based intervention to screen Sickle Cell Disease (SCD) through the mobile medical unit (MMU) that can deliver comprehensive and easily accessible quality of healthcare services to the unserved and underserved rural , urban and tribal population of Jharkhand and Chhattisgarh.	Other than ongoing

4.	Migration of Leprosy Patients	(i) Eradicating hunger, poverty, and malnutrition, ["promoting health care including preventive healthcare"] and sanitation.	<p>Novartis Healthcare Private Limited will work with Swiss Emmaus Leprosy Relief Work India to develop a 360-degree research study to understand the issues surrounding migrant patients and their households across states. The objective of this study is</p> <ol style="list-style-type: none"> <li>1. To study the availability, access, and delivery of treatment among migrant leprosy patients at the source, route and destination of migration</li> <li>2. To understand impact of migration on leprosy patients and their households on new case detection and disease transmission in the source and destination states in India.</li> <li>3. To influence the National Leprosy Eradication Programme design related to management of migrant leprosy patients and their households through evidence-based recommendations.</li> </ol>	Other than ongoing project
5.	Cancer awareness and detection	(i) Eradicating hunger, poverty, and malnutrition, ["promoting health care including preventive healthcare"] and sanitation.	<p>Novartis Healthcare Private Limited will work with Swastava Cancer Care to conduct cancer detection and awareness programs for underprivileged women from economically and socially weaker communities in Telangana.</p> <p>This project focuses on building cancer awareness among the masses by conducting sensitization programs in communities with objective of enabling early detection of oral, cervical, and breast cancers through screening camps.</p>	Ongoing project
<b>ENVIRONMENT</b>				
6.	Integrated Watershed Management Program - Solakpally	(iv) Ensuring environmental sustainability, ecological balance, protection of flora and fauna, animal welfare, agroforestry, conservation of natural resources and maintaining quality of soil, air and water.	<p>Novartis Healthcare Private Limited will work with National Agro towards the watershed development project in Telangana. This was initiated as part of our global ESG goal to achieve water neutrality by 2030.</p> <p>This project follows a 360° model of sustainability using a four-pronged approach which is:</p> <ul style="list-style-type: none"> <li>- Fulfilling the needs of the community by increasing the water supply through building water resources like ponds, dams, and water tankers.</li> <li>- Minimizing water demand by teaching farmers sustainable and efficient water utilization techniques.</li> <li>- Encouraging economic development</li> </ul>	Ongoing project

			<p>through helping farmers increase profits and providing microfinancing to women owned small businesses.</p> <ul style="list-style-type: none"> <li>- Focusing on community wellbeing through providing clean drinking water, toilets, handwashing stations and improving the school infrastructure.</li> </ul>	
7.	Integrated Watershed Management Program – Madhapur	(iv) Ensuring environmental sustainability, ecological balance, protection of flora and fauna, animal welfare, agroforestry, conservation of natural resources and maintaining quality of soil, air and water.	<p>Novartis Healthcare Private Limited will work with National Agro Foundation to efficiently manage the natural resources of the project area and improve socio-economic status of the community through initiatives like agriculture measures, livelihood support, income generation and capacity building.</p> <p>This project follows a 360° model of sustainability using a four-pronged approach as implemented in our watershed project at Solakpally.</p>	Ongoing project
8.	Integrated Watershed Management Program - Murbad	(iv) Ensuring environmental sustainability, ecological balance, protection of flora and fauna, animal welfare, agroforestry, conservation of natural resources and maintaining quality of soil, air and water.	<p>Novartis Healthcare Private Limited will work with National Agro Foundation to replicate our watershed project at Telangana in Murbad – Maharashtra. The baseline here is much lower and presents a greater challenge. The tribal community in this area has been underserved and underrepresented for generations.</p> <p>The project aims to efficiently manage the natural resources of the project area in Murbad and improve socio-economic status of the community through initiatives like agriculture measures, livelihood support, income generation and capacity building through our 360° model of sustainability.</p>	Ongoing project
<b>MONITORING &amp; EVALUATION</b>				
9.	Monitoring & Evaluation	Not Applicable	SoulAce is the monitoring & evaluation partner for CSR projects for Novartis Healthcare Private Limited.	NA